

TRUSTMOORE

Would you like to work as a Senior Compliance Officer in a company with an informal culture and be responsible for all compliance matters regarding our Structured Finance & Capital Markets business line?

Then you are the
SENIOR COMPLIANCE OFFICER - STRUCTURED FINANCE & CAPITAL MARKETS
we are looking for!

What you will do?

As a Senior Compliance Officer SF&CM, you are part of our Compliance Department which ensures that Trustmoore and its clients complies with all applicable laws and regulations, as well as supervisory requirements and internal policies and procedures.

In this role you will lead the activities of the compliance function with regards to SF&CM initiatives in the Netherlands and in our other jurisdictions.

The definition of 'compliance' within Trustmoore covers much more than rules. Our compliance agenda is not solely driven by regulations; we take time and effort to ensure that our processes are fit for purpose in order to understand and manage our risks. We focus on 'soft controls' instead of checklists and strongly encourage our employees to think for themselves. As every client is different, we look for a balanced approach rather than relying on rigid procedures and checklists.

In this role, you will work closely with our SF&CM team and makes sure Trustmoore complies with local laws and regulations on a client level. You will report directly to our Global Head of Compliance. You will work closely with other compliance officers globally and also be part of our global Risk and Compliance community, maintaining Trustmoore's high international standards of business integrity.

Other responsibilities are:

- Oversight of the compliance and risk management activities in the locations where SF& CM services are offered (currently the Netherlands, Luxembourg, Ireland and Cyprus)
- Sparring partner for SF&CM senior management
- Implementation of the risk control framework in the SF&CM legal entities
- Ad-hoc advice and guidance to the SF&CM team on the full range of compliance topics, including AML, sanctions, privacy, conflicts of interest, outsourcing, licensing etc. in accordance with the relevant regulations (e.g. WTT, WWFT, Securitizations Directive, AFM etc.)
- Support to the SF&CM finance and legal account managers and other compliance officers, in both the client acceptance process and client file reviews, ensuring accuracy and completeness of the client files
- Assisting SF&CM legal and finance account managers with compliance issues during the client's life cycle (client acceptance due diligence, transaction monitoring, periodic reviews, and exits)
- Continuous liaising with Head of Compliance and management board to ensure appropriate action is taken to address identified issues
- Participation in Client Acceptance Meetings, Internal Compliance Meetings, Business Compliance Meetings and Compliance Management Meetings

Where will you be working?

Working at Trustmoore means working in a dynamic company with great colleagues and an international environment. At Trustmoore, we score as a team and our colleagues are on top of their game. Trustmoore is a boutique-style corporate service provider with four lines of business: Fund Services, Structured Finance & Capital Markets Services, Multi-Family Office Services and Corporate Expansion Services. We want to excel in what we do, nothing more, nothing less. Word of mouth marketing is the best form of advertisement for us.

You will be working in one of the most beautiful areas of Amsterdam, in chic buildings within walking distance from the Vondelpark. Our garden is ideal for barbecue gatherings and celebrations. Our office is easily accessible by both private and public transport.

You will receive a competitive salary, holiday allowance, discretionary bonus and international career opportunities in our other offices. You can also benefit and participate in:

- TM Academy with soft skills, knowledge and leadership development trainings;
- Interaction with more than 50 international colleagues in The Netherlands and more than 170 colleagues worldwide;
- Regular sport events with your colleagues;

- Our annual ski trip;
- Extensive lunches and daily fresh fruit;

How do you fit in the team?

As a SF&CM Senior Compliance Officer, you need to manage ad-hoc activities (e.g. client acceptances, urgent requests and legal advices) next to your other compliance tasks, projects and daily work. In this position, you need to prioritize what is important, have a hands-on and independent work mentality and be able to deal with stress. A helicopter view is required, since you are responsible for the complete compliance framework of the global SF&CM business line. You are proactive, know what you are capable of, possess good communication skills and are not afraid of asking questions.

Other relevant qualifications for this profile:

- Master's in law degree supplemented with a compliance degree;
- Minimum of 6 years of relevant compliance experience in the financial services industry;
- Familiar with compliance systems and processes;
- Experience with compliance issues relating to regulatory and governance requirements;
- Curiosity about regulatory developments;
- Understanding of risk management;
- Affinity with integrity, culture and governance requirements;
- Strong organizational and effective communication skills;
- A professional and hands-on work mentality;
- Proven team spirit;
- Strong interpersonal and presentation skills;
- Self-starting mentality, taking initiatives;
- Excellent communication skills in both Dutch and English (both orally and in writing);
- Compliance experience in the structured finance & capital markets industry is a pre;

Do you recognize yourself in this profile? We can't wait to meet you!

If you have any questions about this position or about Trustmoore please contact Pauline Wolf, by email pauline.wolf@trustmoore.com or phone +3120 471 2707.

About us

We make success possible. Success for our clients by providing high-quality services, however also success for our colleagues by providing you with personal and professional growth opportunities to help you reach your full potential. Just as we help our clients reach their goals.

The foundation of our success is delivering true value through long term relations. That applies to our clients and colleagues. We're in it for the long run, that creates value for us and for you.

This results in a very personal and informal company culture with a healthy work-life balance.

We are proud of that and we call it being professional in a non-corporate way.

Do you want to learn more about us?

Check our website <https://www.trustmoore.com/careers/>

By sending in your personal details for this job application, you give your consent that those details will be saved in our database for application purposes. After the termination of your application procedure, we will delete your details from our database. Obviously, we will treat your details with care, with confidentiality and we will not share those with third parties.